

Lecture 3: A Combinatorial Parameterization of Nilpotent Orbits

B. Binegar

Department of Mathematics
Oklahoma State University
Stillwater, OK 74078, USA

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- \mathfrak{g} : a semisimple Lie algebra over \mathbb{C}
- \mathfrak{h} : a Cartan subalgebra of \mathfrak{g}
 $\Delta = \Delta(\mathfrak{h}; \mathfrak{g})$: the roots of \mathfrak{h} in \mathfrak{g}
 $\Pi = \Pi(\mathfrak{h}; \mathfrak{g})$: a set of simple roots of Δ
- G : the adjoint group of \mathfrak{g} (a complex algebraic group)
- $\mathcal{N} = \mathcal{N}_{\mathfrak{g}}$: the cone of nilpotent elements in \mathfrak{g}

$$\mathcal{N} = \coprod_{\mathcal{O} \in G \backslash \mathcal{N}} \mathcal{O}$$

- Our problem: parameterizing $G \backslash \mathcal{N}$, the (finite) set of nilpotent orbits

- $x \in \mathcal{O} \in G \backslash \mathcal{N}$
- x extends to a Jacobson-Morozov standard triple $\{x, h, y\}$

$$[x, y] = h \quad , \quad [h, x] = 2x \quad , \quad [h, y] = -2y$$

- h can be conjugated to element in dominant Weyl chamber

Theorem (Kostant, 1959)

Suppose $\Pi = \{\alpha_1, \dots, \alpha_n\}$. A nilpotent orbit \mathcal{O} is completely determined by the values $[\alpha_1(h), \alpha_2(h), \dots, \alpha_n(h)]$. Moreover, the only possible values of $\alpha_i(h)$ are 0, 1, and 2.

Upshot

- Each nilpotent orbit corresponds to a certain labeling of the nodes of Dynkin diagram of \mathfrak{g} by one of $\{0, 1, 2\}$.
- Such a labeled Dynkin diagram is called a *weighted Dynkin diagram* (or *WDD*).

Example

WDDs for $\mathfrak{sl}(4)$

2 2 2

• - • - •

2 0 2

• - • - •

0 2 0

• - • - •

1 0 1

• - • - •

0 0 0

• - • - •

- Relatively few of the $3^{\text{rank}(\mathfrak{g})}$ possible diagrams are actually realized as WDDs.
- No algorithm for predicting which diagrams occur.
- More accurate to say WDDs provide a **unique labeling** (rather than a parameterization) of nilpotent orbits.
- Nevertheless, some general characteristics of an orbit can be read off its WDD.

Partition-type classifications

When \mathfrak{g} is of classical type ($\mathfrak{g} = \mathfrak{sl}_n$, \mathfrak{so}_{2n+1} , \mathfrak{sp}_{2n} , or \mathfrak{so}_{2n}) the nilpotent orbits of \mathfrak{g} can be parameterized by certain families of partitions.

Let N denote the dimension of the standard representation of \mathfrak{g} .

$$G \backslash \mathcal{N} \ni \mathcal{O} \ni x \quad \longrightarrow \quad \mathbf{X} \in M(N, N)$$

The Jordan normal form of \mathbf{X} completely determines \mathcal{O} .

The partition $\mathbf{p}_{\mathcal{O}}$ corresponding to \mathcal{O} is the list of sizes of the irreducible Jordan blocks that occur in the Jordan normal form of \mathbf{X} .

$$[3, 2, 2, 1] \longleftrightarrow \left(\begin{array}{ccc|ccc|c} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{array} \right) \in \mathfrak{sl}_8$$

Unlike WDD labeling, the partitions \mathbf{p}_O that do occur can be determined by simple rules.

- The nilpotent orbits of \mathfrak{sl}_n are in a one-to-one correspondence with the set $\mathcal{P}_A = \mathcal{P}(n)$ of partitions of n .
- The nilpotent orbits of \mathfrak{so}_{2n+1} are in a one-to-one correspondence with the set $\mathcal{P}_B(2n+1)$ consisting of partitions $2n+1$ such that even parts only occur with even multiplicity.
- The nilpotent orbits of \mathfrak{sp}_{2n} are in a one-to-one correspondence with the set $\mathcal{P}_C(2n)$ consisting of partitions $2n$ such that odd parts only occur with even multiplicity.
- The nilpotent orbits of \mathfrak{so}_{2n} are in a *nearly* one-to-one correspondence with the set $\mathcal{P}_D(2n)$ consisting of partitions $2n$ such that even parts only occur with even multiplicity. Partitions in $\mathcal{P}_D(2n)$ which consist *only* of even parts (necessarily each with even multiplicity) are called *very even* partitions. To each very even partition there corresponds *two* distinct nilpotent orbits.

- Given a partition $\mathbf{p} \in \mathcal{P}_G$ it is easy to write down a representative matrix \mathbf{X} using recipes found, e.g., in Collingwood and McGovern.
- The closure relations amongst the nilpotent orbits can be inferred directly from the dominance partial ordering of partitions

$$\mathcal{O}_{\mathbf{p}} \subseteq \overline{\mathcal{O}_{\mathbf{p}'}} \iff \mathbf{p} \leq_{dom} \mathbf{p}' \equiv \sum_{j=1}^i p_j \leq \sum_{j=1}^i p'_j \quad \forall i$$

- There exist nice algorithms for computing dimensions of orbits, induced orbits, Spaltenstein duals, etc. using the partition parameterizations.
- But partition classification schemes apply **only** to Lie algebras of classical type.

Definition

Let

$\Gamma \equiv$ a subset of the simple roots Π of \mathfrak{g} ,

$\Delta_\Gamma \equiv$ the subset of Δ generated by the simple roots $\alpha \in \Gamma$,

$\Delta(\mathfrak{u}_\Gamma) \equiv \Delta^+ - \Delta_\Gamma$.

The *standard Levi subalgebra* corresponding to Γ is the reductive subalgebra \mathfrak{l}_Γ of \mathfrak{g} given by

$$\mathfrak{l}_\Gamma = \mathfrak{h} + \sum_{\alpha \in \Delta_\Gamma} \mathfrak{g}_\alpha$$

The *standard parabolic subalgebra* corresponding to Γ is the parabolic subalgebra \mathfrak{p}_Γ of \mathfrak{g} given by

$$\mathfrak{p}_\Gamma = \mathfrak{l}_\Gamma + \sum_{\alpha \in \Delta(\mathfrak{u}_\Gamma)} \mathfrak{g}_\alpha$$

There are two fundamental ways of lifting a nilpotent orbit $\mathcal{O}_\mathfrak{l}$ of a Levi subalgebra \mathfrak{l} to a nilpotent orbit in \mathfrak{g} .

- **Bala-Carter inclusion:**

$$\text{inc}_\mathfrak{l}^\mathfrak{g}(\mathcal{O}_\mathfrak{l}) := G \cdot \mathcal{O}_\mathfrak{l} = G\text{-saturation of } \mathcal{O}_\mathfrak{l} \text{ in } \mathfrak{g}$$

- **induction:** Let $\mathfrak{p} = \mathfrak{l} + \mathfrak{u}$ be any extension of \mathfrak{l} to a parabolic subalgebra of \mathfrak{g} . Then

$$\text{ind}_\mathfrak{l}^\mathfrak{g}(\mathcal{O}_\mathfrak{l}) := \text{unique dense orbit in } G \cdot (\mathcal{O}_\mathfrak{l} + \mathfrak{u})$$

Theorem (Lusztig-Spaltenstein) $\text{ind}_\mathfrak{l}^\mathfrak{g}(\mathcal{O}_\mathfrak{l})$ exists and is independent of the choice of the nilradical \mathfrak{u} .

Definition

An element $x \in \mathcal{N}$ is **distinguished** in \mathfrak{g} if it is not contained in any proper Levi subalgebra of \mathfrak{g} .

- If x is distinguished, then so is every element of $G \cdot x$.
It thus makes sense to speak of *distinguished orbits*.
- The principal orbit (i.e. the maximal nilpotent orbit) is always distinguished.
- For \mathfrak{sl}_n the principal orbit is the only distinguished orbit.
- E_8 , on the other hand, has 11 different distinguished orbits

Theorem

(Bala-Carter, 1976) Every nilpotent orbit \mathcal{O} in \mathfrak{g} is the Bala-Carter inclusion of a distinguished orbit $\mathcal{O}_\mathfrak{l}$ in a Levi subalgebra \mathfrak{l} of \mathfrak{g} . In fact, the correspondence

$$G \backslash \mathcal{N} \longleftrightarrow \{G\text{-conjugacy classes of distinguished orbits of Levi subalgebras}\}$$

is one-to-one.

There are a number of facts that go into the proof of the above theorem:

- $\{\text{minimal Levi subalgebras containing } x\}$
 $\longleftrightarrow \{\text{maximal toral subalgebras of } \mathfrak{g}^x\}$
- \implies minimal Levis containing x are all conjugate
- x is distinguished if and only if \mathfrak{g}^x has no semisimple elements

Let $x \longrightarrow \{x, h, y\}$: J-M triple

$$\mathfrak{g} = \bigoplus_{k \in \mathbb{Z}} \mathfrak{g}_k \quad ; \quad [h, z] = kz \text{ if } z \in \mathfrak{g}_k$$

- If x is distinguished, then $\dim \mathfrak{g}_0 = \dim \mathfrak{g}_2$
- If x is distinguished, then \mathcal{O}_x is even. ($\mathfrak{g}_{2j+1} = 0$)

B-C idea: inclusion of distinguished orbits provides an inductive construction of nilpotent orbits.

To get this inductive scheme going one still needs a manageable way of classifying the distinguished orbits of a reductive Lie group.

Definition

A parabolic subalgebra $\mathfrak{p} = \mathfrak{l} + \mathfrak{u}$ of \mathfrak{g} is called *distinguished* if

$$\dim \mathfrak{l} = \dim (\mathfrak{u} / [\mathfrak{u}, \mathfrak{u}]) \quad .$$

Theorem

Every distinguished orbit of \mathfrak{l} is obtained by parabolic induction of the trivial orbit of a distinguished parabolic algebra $\mathfrak{p} = \mathfrak{l}' + \mathfrak{u}$ of \mathfrak{l} .

$$\mathcal{O} \text{ distinguished} \Rightarrow \mathcal{O} = \text{ind}_{\mathfrak{l}'}^{\mathfrak{g}} (\mathbf{0})$$

for some distinguished parabolic $\mathfrak{p} = \mathfrak{l}' + \mathfrak{u}$.

Theorem

There is a natural one-to-one correspondence between nilpotent orbits of \mathfrak{g} and G -conjugacy classes of pairs $(\mathfrak{l}, \mathfrak{p}_{\mathfrak{l}})$ where \mathfrak{l} is a Levi subalgebra of \mathfrak{g} and $\mathfrak{p}_{\mathfrak{l}} = \mathfrak{l}' + \mathfrak{u}$ is a distinguished parabolic subalgebra of \mathfrak{l} . The correspondence is given by

$$(\mathfrak{l}, \mathfrak{p}_{\mathfrak{l}}) \rightarrow \text{inc}_{\mathfrak{l}}^{\mathfrak{g}} \left(\text{ind}_{\mathfrak{l}'}^{\mathfrak{l}}(\mathbf{0}) \right)$$

Definition

A nilpotent orbit obtained by parabolic induction from the trivial orbit of a Levi subalgebra is called a **Richardson orbit**:

$$\mathcal{O} \text{ is Richardson} \iff \mathcal{O} = \text{ind}_{\mathfrak{l}}^{\mathfrak{g}}(\mathbf{0}) \quad \text{for some Levi subalgebra } \mathfrak{l}$$

Theorem

The conjugacy classes of parabolic subalgebras of a semisimple Lie algebra are in a one-to-one correspondence with the subsets $\Gamma \in 2^\Pi$. The correspondence is given by

$$\Gamma \longrightarrow G\text{-conj class of } \mathfrak{p}_\Gamma$$

where \mathfrak{p}_Γ is the standard parabolic corresponding to $\Gamma \subset \Pi$

Recall

Definition

A parabolic subalgebra $\mathfrak{p} = \mathfrak{l} + \mathfrak{u}$ of \mathfrak{g} is called *distinguished* if

$$\dim \mathfrak{l} = \dim (\mathfrak{u} / [\mathfrak{u}, \mathfrak{u}]) \quad .$$

Recall, given $\Gamma \subset \Pi$,

$$\begin{aligned}\Delta_\Gamma &= \Delta \cap \text{span}_{\mathbb{Z}} \{\alpha \mid \alpha \in \Gamma\} = \Delta(\mathfrak{h}; \mathfrak{l}_\Gamma) \\ \Delta(\mathfrak{u}_\Gamma) &= \Delta^+ - \Delta_\Gamma\end{aligned}$$

Definition

$\mathfrak{p}_{\Gamma,i}$:= direct sum of the root spaces \mathfrak{g}_α such that α is a sum of exactly i simple roots in $\Delta(\mathfrak{u}_\Gamma)$ plus a (possibly trivial) sum of roots in \mathfrak{l}_Γ

Lemma

Let $\mathfrak{p}_\Gamma = \mathfrak{l}_\Gamma + \mathfrak{u}_\Gamma$ be a standard parabolic. Then

$$\dim(\mathfrak{u} / [\mathfrak{u}, \mathfrak{u}]) = \dim \mathfrak{p}_{\Gamma,1}$$

Theorem

Let $\Gamma \subset \Pi$. Then \mathfrak{p}_Γ is distinguished in \mathfrak{g} iff

$$|\Delta(\Gamma)| + \text{rk}(\mathfrak{g}) = \# \text{ of roots with exactly one component in } \Pi - \Gamma$$

Definition

A **distinguished subset** of simple roots is a subset $\Gamma \subset \Pi$ such that

$$|\Delta(\Gamma)| + \text{rk}(\mathfrak{g}) = \# \text{ of roots with exactly one component in } \Pi - \Gamma$$

distinguished Γ 's \leftrightarrow conj classes of distinguished parabolics

The correspondence

$$\Pi \supset \Gamma \longrightarrow \text{conjugacy class of } \mathfrak{l}_\Gamma$$

is many-to-one in general.

Theorem

(Dynkin, 1957) The conjugacy classes of Levi subalgebras of \mathfrak{g} are in a one-to-one correspondence with the W -conjugacy classes of subsets of simple roots.

Definition

Let $\tilde{\Gamma}$ be a conjugacy class of subsets $\Gamma \subset \Pi$. The **standard Gamma** of $\tilde{\Gamma}$ is the first in the natural lexicographical ordering of $\tilde{\Gamma}$.

Obviously, $\Gamma \longrightarrow \mathfrak{l}_\Gamma$ provides a one-to-one correspondence between standard Gammas and conjugacy classes of Levi subalgebras.

Putting this all together

Theorem

The nilpotent orbits of \mathfrak{g} are in a one-to-one correspondence with pairs $[\Gamma, \gamma]$ where $\Gamma \subset \Pi$ is a standard Gamma for \mathfrak{g} and $\gamma \subset \Gamma$ is a distinguished subset of Γ . The correspondence is given by

$$[\Gamma, \gamma] \rightarrow inc_{\Gamma}^{\mathfrak{g}} \left(ind_{\gamma}^{\Gamma} (\mathbf{0}) \right)$$

Definition

A **combinatorial Bala-Carter parameter** (CBCP) is a pair $[\Gamma, \gamma]$ where $\Gamma \subset \Pi$ is a standard Gamma for \mathfrak{g} and $\gamma \subset \Gamma$ is a distinguished subset of Γ .

Definition

The **CBC diagram** corresponding to a CBCP $[\Gamma, \gamma]$ for \mathfrak{g} is the Dynkin diagram of \mathfrak{g} with the nodes shaded as follows:

- The nodes corresponding to simple roots in $\Pi - \Gamma$ are represented as open circles.
- The nodes corresponding to simple roots in $\Gamma - \gamma$ are represented by a filled circles.
- The nodes corresponding to simple roots in γ are represented by asterixes.

Example: CBC diagrams for the nilpotent orbits of G_2

<i>BC label</i>	$[\Gamma, \gamma]$	<i>CBC diagram</i>
0	$[\square, \square]$	$\circ \Leftarrow \circ$
A_1	$[[2], \square]$	$\circ \Leftarrow \bullet$
\widetilde{A}_1	$[[1], \square]$	$\bullet \Leftarrow \circ$
$G_2(a_1)$	$[[1, 2], [1]]$	$* \Leftarrow \bullet$
G_2	$[[1, 2], \square]$	$\bullet \Leftarrow \bullet$

- Easy to compute (using e.g. John Stembridge's Coxeter package)
- Parameterization is unambiguous and uniform across all reductive Lie algebras.
 - Classical and exceptional cases are treated exactly the same.
 - No ambiguities in labeling (unlike the even-even partitions for $\mathfrak{so}(2n)$ or the three pairs of non-conjugate isomorphic Levi's for E_7).
- Once one knows how to write down representatives for distinguished orbits (relatively easy), one immediately can immediately construct a representative x for any nilpotent orbit $\mathcal{O}_{[\Gamma, \gamma]}$.
- Easy to read off the usual Bala-Carter labels for the exceptional Lie algebras.
- Very simple to figure out the partition corresponding to the CBCP of a classical Lie algebra and easy to figure out an orbit's CBCP from its partition. (Next.)

All Levis of \mathfrak{sl}_n are sums of \mathfrak{gl}_k 's

For $\mathfrak{sl}(k)$ distinguished orbit \Rightarrow principal orbit

\Rightarrow all CBCPs are of the form $[\Gamma, \square]$, with Γ some subset of $\{1, 2, 3, \dots, n-1\}$.

Recipe:

- Form list $\mathbf{l} = \{\ell_1, \ell_2, \dots, \ell_k\}$ of the lengths of the maximal strictly consecutive subsequences of Γ . E.g., in \mathfrak{sl}_{15} ,

$$\Gamma = [1, 2, 3, 5, 6, 8, 9, 11, 13] \implies \mathbf{l} = [3, 2, 2, 1, 1]$$

- Add 1 to each entry in \mathbf{l} . E.g.,

$$[4, 3, 3, 2, 2]$$

- Add as many additional 1's to the tail of \mathbf{l} as necessary to convert \mathbf{l} into a partition of n . E.g.,

$$[4, 3, 3, 2, 2, 1] \in \mathcal{P}(15)$$

- The resulting partition of n is the partition corresponding to the orbit $\mathcal{O}_{[\Gamma, \square]}$.

Going back from partitions to Γ 's is done by

- subtracting 1 from each part to get a list \mathbf{l} of lengths of strictly consecutive subsequences of simple roots.
- Reconstruct a Γ from \mathbf{l} in the obvious fashion.
(N.B. the resulting Γ will automatically be a *standard Gamma* for $\mathfrak{sl}(n)$.)

Ex.

$$\mathbf{p} = [3, 2, 2, 1, 1, 1] \longrightarrow \mathbf{l} = [2, 1, 1, 0, 0, 0] \longrightarrow \{1, 2, 4, 6\}$$

$$CBCP = [\{1, 2, 4, 6\}, \{\}]$$

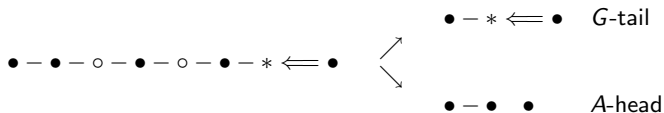
Definition

The **dismemberment** of a CBC diagram is the CBC obtained by removing all the circled nodes.

The **G -tail** of a CBC diagram is the connected component of the dismembered CBC diagram containing the last simple root of Π (w.r.t. Bourbaki ordering).

The **A -head** of a CBC diagram is what remains of the dismembered CBC diagram after the G -tail is removed.

Example



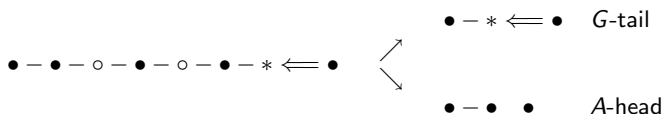
N.B. The G -tail is the CBC diagram of a distinguished orbit of a simple factor of \mathfrak{l}_Γ of the same Cartan type as \mathfrak{g} .

N.B. The A -head consists of the Dynkin diagrams of the factors of \mathfrak{l}_Γ that are of Cartan type A .

To determine the partition in \mathcal{P}_G corresponding the orbit with CBCP $[\Gamma, \gamma]$

- Determine G -tail and A -head of CBC diagram for $[\Gamma, \gamma]$.
- The G -tail prescribes a Richardson orbit for a classical Lie algebra of the same Cartan type as \mathfrak{g} . Use the method described in my December lectures to compute the partition \mathbf{p}_G corresponding to this Richardson orbit.
(Or look the partition up in the tables I provided in Appendix B.)
- Construct the partition \mathbf{p}_A corresponding to the A -head in exactly the same way as we did for Γ 's for $\mathfrak{sl}(n)$.
- Concatenate \mathbf{p}_G with **two** copies of \mathbf{p}_A and then add as many 1's as necessary to get a partition in \mathcal{P}_G .
N.B. the parity/multiplicity criteria of \mathcal{P}_G will automatically be satisfied.
- The partition $\mathbf{p}_{[\Gamma, \gamma]}$ you end up with will be the partition in \mathcal{P}_G corresponding to $\mathcal{O}_{[\Gamma, \gamma]}$

Example: a CBC diagram for $sp(16)$



$$\begin{array}{lcl}
 \bullet - \bullet \bullet & \implies & [3, 3, 2, 2] \\
 \bullet - * \leftarrow \bullet & \implies & [4, 2] \quad (\text{from tables for } C_3)
 \end{array}$$

$$\mathbf{p} = [4, 3, 3, 2, 2, 2] \in \mathcal{P}_C(16)$$

Question: What is the largest Levi subalgebra containing a representative of the nilpotent orbit of $\mathfrak{sp}(16)$ corresponding to the partition $[4, 3, 3, 2, 2, 2]$?

- We first try to view as $[4, 3, 3, 2, 2, 2]$ as a concatenation of two partitions; one consisting of parts with even multiplicities and the other consisting of distinct even parts.

$$[4, 3, 3, 2, 2, 2] \rightsquigarrow [3, 3, 2, 2] \mid [4, 2]$$

- The “subpart” $[3, 3, 2, 2]$ corresponds to a A -head of the form $\bullet - \bullet - \circ - \bullet$.
- Consulting a table of distinguished orbits, one finds that the “subpart” $[4, 2]$ is the partition attached to the distinguished orbit of $\mathfrak{sp}(6)$ with CBC diagram $\bullet - * \leftarrow \bullet$. This is the G -tail of the CBC of $\mathcal{O}_{[4,3,3,2,2,2]}$.

- Attaching the A -head to the G -tail, with an empty node in between, we obtain the CBC diagram of $\mathcal{O}_{[4,3,3,2,2,2]}$.



- Evidently, the maximal Levi subalgebra containing an $x \in \mathcal{O}_{[4,3,3,2,2,2]}$ will be of type $\mathfrak{gl}(3) + \mathfrak{gl}(2) + \mathfrak{sp}(3)$.
- Note that we get not only the isomorphism class of the desired Levi but also the simple roots generating its semisimple part.
- In fact, we see in **exactly** which distinguished orbit of the Levi subalgebra the element x resides.