

Nonlinear Covers I

Objects of Study: central extensions \tilde{G} of a linear alg gp G over a field k .
 \tilde{G} may be nonlinear, i.e. it does not embed into GL_N .

Motivation and History

• Segal-Shale-Weil rep'n (or oscillator rep'n)

Weil (1964), Kubota (1967), Rao (1993): k a local field. Then there is a unique 2-fold cover of $Sp(2n, k)$, $n \geq 1$ (the metaplectic group $Mp(2n, k)$)

Weil and others did a comprehensive study of this gp and its Weil/oscillator rep'n. Weil's goal was to formulate the theory of theta functions in rep'n theoretic terms and repeat the previous results of Siegel (e.g. Siegel mass formula, Siegel-Weil formula) in this framework.

\rightsquigarrow Roger Howe's theory of dual pairs / theta correspondence.

• half-integral weight modular forms

Shimura (1973 Annals), Gelbart (1976, SLN 530), Gelbart-Jacquet (1978) theory of Hecke operators for half-integral weight modular forms \rightsquigarrow

$$\text{Shimura correspondence} = \left\{ \begin{array}{l} \frac{1}{2}\text{-integral} \\ \text{wt mod forms} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{integral wt} \\ \text{mod forms} \end{array} \right\}$$

\rightsquigarrow Gelbart-Jacquet's construction of Sym^2 .

\rightsquigarrow Flicker-Kazhdan (1980) & Kazhdan-Patterson covering (1984)

trace formula approach to Shimura corresp.

(n -fold covers of GL_r via pull back of n -fold cover (BSL_{2r}))

(n -fold cover GL_2)

\rightsquigarrow Waldspurger (1980): aut discrete spectrum of $Mp(2, \mathbb{A})$ using theta cor. & Bump-Friedberg-Hoffstein.

• The Congruence Subgroup Problem

Bass-Lazard-Serre (1964); Bass-Milnor-Serre (1967)

Thm For $n \geq 3$, every subgroup of finite index in $SL(n, \mathbb{Z})$ is a congruence subgroup.

Thm Same for both $SL(n, \mathbb{Z})$ $n \geq 3$ and $Sp(2n, \mathbb{Z})$, $n \geq 2$.

Note: not true for $SL(2, \mathbb{Z})$.

What is a congruence subgroup? \mathfrak{a} proper nonzero ideal in \mathbb{Z} .

The subgroup $SL(2, \mathfrak{a}) := \{g \in SL(2, \mathbb{Z}) : g \equiv I_2 \pmod{\mathfrak{a}}\}$ is a normal subgroup of finite index in $SL(2, \mathbb{Z})$.

A congruence subgroup of $SL(2, \mathbb{Z})$ is a subgroup containing some $SL(2, \mathfrak{a})$.

Question: (towards the end of 19th century) Are there other examples of normal subgroups of finite index?

Fricke-Klein: \exists a surjective homomorphism $\varphi: SL(2, \mathbb{Z}) \rightarrow A_5$ and $\Gamma = \ker(\varphi)$ can not contain a subgroup of the form $SL(2, \mathfrak{a})$.

So for $SL(2, \mathbb{Z})$ the answer is YES.

But: the congruence subgroup problem proves that $SL(2)$ is an exception!

Setup:

abstract
gps

G a group, A an abelian gp $\overset{G}{\curvearrowright}$ (i.e. A is a G -module)

An extension of G by A is a short exact sequence

$$1 \rightarrow A \xrightarrow{i} E \xrightarrow{p} G \rightarrow 1$$

such that the action of G on A by inner automorphisms of E agrees with the G -module action.

Often: A is trivial G -module. Then we call E a central extension of G by the abelian gp A .

top.
gps

G loc. compact, Hausdorff, second countable topological gp (abbrev. as loc. cpt gp). A locept abelian gp

Def. A central extension of G by A is a short exact sequence

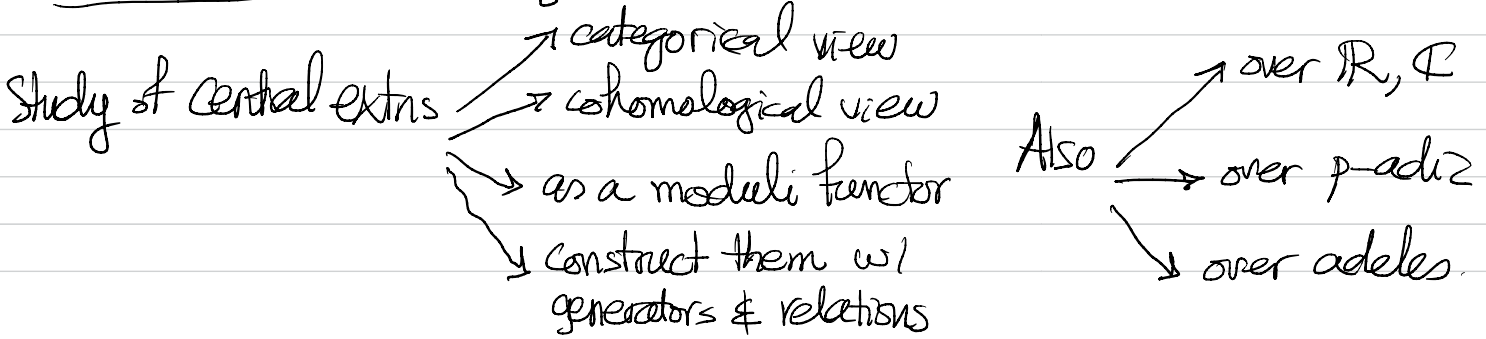
$$1 \rightarrow A \xrightarrow{i} E \xrightarrow{p} G \rightarrow 1$$

such that

- ① E is a loc. cpt gp
- ② i is continuous and $i(A)$ is a closed sub of the center of E
- ③ p is continuous and induces a top. iso $E/i(A) \cong G$.

[③ may be replaced by the condition that p is cent. and open.]

Our interest: mostly when A is finite.



Some Examples

① (central extns of ^{finite} abelian grps)

a) central extensions of $G = \mathbb{Z}/2\mathbb{Z}$ by $A = \mathbb{Z}/2\mathbb{Z}$. There are 2
 one: trivial $= \mathbb{Z}/2 \times \mathbb{Z}/2$

other: nontrivial $0 \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow \mathbb{Z}/4\mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$

b) (Heisenberg gp) $n \in \mathbb{Z}_{>0}$, p prime, \mathbb{F}_q , $q = p^n$.

there is a nontrivial central extension $E = H(\mathbb{F}_q^{2n})$

of $G = \mathbb{F}_q^{2n}$ by $A = \mathbb{F}_q$, called the Heisenberg group:

$$0 \rightarrow \mathbb{F}_q \rightarrow H(\mathbb{F}_q^{2n}) \rightarrow \mathbb{F}_q^{2n} \rightarrow 0$$

the simplest realization of $H(\mathbb{F}_q^{2n})$ is:

the gp of $(n+2) \times (n+2)$ upper triangular unipotent matrices with only non-diagonal nonzero entries from \mathbb{F}_q in the 1st row and the last column.

e.g. $n=1$

$$\begin{bmatrix} 1 & z & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{bmatrix}$$

$$n \geq 1 \quad \begin{bmatrix} 1 & x_1 & x_2 & \dots & x_n & z \\ & & & & & y_1 \\ & & & & & y_2 \\ & & & & & \vdots \\ & & & & & y_n \\ & & & & & 1 \end{bmatrix}$$

$$\text{Center} = \begin{bmatrix} 1 & & & z \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{bmatrix} \cong \mathbb{F}_q$$

(2) (real groups).

(a) $SU(n)$ is simply-connected and has no central extensions.

(b) $SO(n)$ has a 2-fold simply-connected cover:

$$1 \longrightarrow \mathbb{Z}/2\mathbb{Z} \longrightarrow \text{Spin}(n) \longrightarrow SO(n) \longrightarrow 1$$

$$(c) \pi_1(SL(n, \mathbb{R})) = \begin{cases} \mathbb{Z} & \text{if } n=2 \\ \mathbb{Z}/2\mathbb{Z} & n \geq 2 \end{cases}$$

$$(d) \pi_1(\text{Spin}(p, q)) = \begin{cases} 1 & \text{if } \min(p, q) \leq 1 \\ \mathbb{Z} & \text{if } \min(p, q) = 2 \\ \mathbb{Z}/2\mathbb{Z} & \text{if } \min(p, q) \geq 2. \end{cases}$$

Next time I first give some references and a summary of the historical development of these central extensions for linear alg. gps over local/global fields and then restrict our discussion to a limited situation of this for the remainder of the reading seminar.

In particular, I'll explain the (algebraic) $\pi_1(G)$ and $H^2(G, A)$.