Regular triangulations and the index of a cusped hyperbolic 3-manifold

Stavros Garoufalidis, Craig D. Hodgson J. Hyam Rubinstein and Henry Segerman

Oklahoma State University

The 3D index of an ideal triangulation

Dimofte-Gaiotto-Gukov define the 3D index of an ideal triangulation:

 $egin{array}{rll} I: \{ ext{oriented ideal triangulations} \} &
ightarrow \mathbb{Z}((q^{1/2})) \ & \mathcal{T} &\mapsto & l_\mathcal{T} \end{array}$

The 3D index of an ideal triangulation

Dimofte-Gaiotto-Gukov define the 3D index of an ideal triangulation:

$$egin{array}{rll} I: \{ ext{oriented ideal triangulations} \} &
ightarrow \mathbb{Z}((q^{1/2})) \ & \mathcal{T} &\mapsto & I_\mathcal{T} \end{array}$$

The index $I_{\mathcal{T}}$ is built from the tetrahedron index $I_{\Delta}(m, e)(q) \in \mathbb{Z}[[q^{1/2}]]$, for $m, e \in \mathbb{Z}$.

$$l_{\Delta}(m,e)(q) = \sum_{n=(-e)_{+}}^{\infty} (-1)^{n} \frac{q^{\frac{1}{2}n(n+1) - (n+\frac{1}{2}e)m}}{(q)_{n}(q)_{n+e}}$$

where $e_+ = \max\{0, e\}$ and $(q)_n = \prod_{i=1}^n (1-q^i)$.

Very roughly speaking, if the manifold has r cusps and T has N edges (and so N tetrahedra), then

$$l_\mathcal{T}(q) = \sum_{k\in\mathbb{Z}^{N-r}\subset\mathbb{Z}^N} (-q^{1/2})^* \prod_{j=1}^N l_\Delta(*,*)(q)$$

Here:

- ▶ k is a vector, with an integer "number of copies" of each of the N - r edges
- j runs over the tetrahedra of \mathcal{T}
- * terms are "dot products" between k and how the edges are incident to the tetrahedra

"Physics tells us" that I_T should be an invariant of the manifold M.

"Physics tells us" that I_T should be an invariant of the manifold M. However:

Problem 1

The sum in the definition of I_T may not converge, so I_T is not well-defined for all T.

"Physics tells us" that I_T should be an invariant of the manifold M. However:

Problem 1

The sum in the definition of I_T may not converge, so I_T is not well-defined for all T.

Theorem (Garoufalidis, Hodgson, Rubinstein, S)

 $I_{\mathcal{T}}$ is well-defined $\Leftrightarrow \mathcal{T}$ is 1-efficient $\leftarrow \begin{matrix} M \text{ is atoroidal and } \mathcal{T} \text{ admits} \\ a \text{ semi-angle structure.} \end{matrix}$

(1-efficiency is a property about normal surfaces in the triangulation. We will come back to what a semi-angle structure is shortly.)

Theorem (Garoufalidis)

If $\mathcal{T}, \mathcal{T}'$ are two triangulations of M connected by a 2-3 move and $I_{\mathcal{T}}, I_{\mathcal{T}'}$ are well-defined, then $I_{\mathcal{T}} = I_{\mathcal{T}'}$.



Theorem (Matveev, Piergallini)

Any two ideal triangulations of M are connected by a sequence of 2-3 moves.

Theorem (Garoufalidis)

If $\mathcal{T}, \mathcal{T}'$ are two triangulations of M connected by a 2-3 move and $l_{\mathcal{T}}, l_{\mathcal{T}'}$ are well-defined, then $l_{\mathcal{T}} = l_{\mathcal{T}'}$.



Theorem (Matveev, Piergallini)

Any two ideal triangulations of M are connected by a sequence of 2-3 moves.

Problem 2

We have no idea if the 1-efficient or semi-angle structure admitting triangulations are connected by 2-3 moves.

The plan

Construct a set of triangulations χ_M of M so that:

- χ_M depends only on the topology of *M*.
- χ_M is connected by 2-3 moves.
- Every member of χ_M admits a semi-angle structure.

Then define I_M to be I_T for any $T \in \chi_M$. This would promote the 3D index to a topological invariant of M.

The plan

Construct a set of triangulations χ_M of M so that:

- χ_M depends only on the topology of *M*.
- χ_M is connected by 2-3 moves.
- Every member of χ_M admits a semi-angle structure.

Then define I_M to be I_T for any $T \in \chi_M$. This would promote the 3D index to a topological invariant of M.

Theorem (Garoufalidis, Hodgson, Rubinstein, S) *This works.*

Semi-angle structures

Associate angles (real numbers) to the edges of the tetrahedra of ${\cal T}$, so that:

- 1. In each tetrahedron, angles at opposite edges are the same.
- 2. In each tetrahedron,

 $\alpha_1 + \alpha_2 + \alpha_3 = \pi.$

3. Around each edge of \mathcal{T} , $\sum \alpha = 2\pi$.



If all angles are in $[0, \pi]$ then this is a *semi-angle structure*.

The dihedral angles of an ideal hyperbolic tetrahedron satisfy (1) and (2), and if many ideal hyperbolic tetrahedra fit together nicely in \mathbb{H}^3 then their angles also satisfy (3).

Theorem (Epstein-Penner)

Let M be a hyperbolic 3-manifold with one torus boundary component. Then there is a canonical subdivision of M into convex ideal hyperbolic polyhedra.



Theorem (Epstein-Penner)

Let M be a hyperbolic 3-manifold with one torus boundary component. Then there is a canonical subdivision of M into convex ideal hyperbolic polyhedra.



If all polyhedra are tetrahedra then we are done – we choose $\chi_M = \{$ the Epstein-Penner decomposition $\}$.

Theorem (Epstein-Penner)

Let M be a hyperbolic 3-manifold with one torus boundary component. Then there is a canonical subdivision of M into convex ideal hyperbolic polyhedra.



If all polyhedra are tetrahedra then we are done – we choose $\chi_M = \{$ the Epstein-Penner decomposition $\}$.

Theorem (Epstein-Penner)

Let M be a hyperbolic 3-manifold with one torus boundary component. Then there is a canonical subdivision of M into convex ideal hyperbolic polyhedra.



If all polyhedra are tetrahedra then we are done – we choose $\chi_M = \{$ the Epstein-Penner decomposition $\}$.

Theorem (Epstein-Penner)

Let M be a hyperbolic 3-manifold with one torus boundary component. Then there is a canonical subdivision of M into convex ideal hyperbolic polyhedra.



If all polyhedra are tetrahedra then we are done – we choose $\chi_M = \{$ the Epstein-Penner decomposition $\}$.

Theorem (Epstein-Penner)

Let M be a hyperbolic 3-manifold with one torus boundary component. Then there is a canonical subdivision of M into convex ideal hyperbolic polyhedra.



If all polyhedra are tetrahedra then we are done – we choose $\chi_M = \{$ the Epstein-Penner decomposition $\}$.

We cannot just take *all* subdivisions of the polyhedra into ideal hyperbolic tetrahedra since:

Problem 3

It isn't known if the set of all geometric triangulations of a convex ideal polyhedron is connected by 2-3 moves. (True in dimension 2, false in dimension 5.)

We cannot just take *all* subdivisions of the polyhedra into ideal hyperbolic tetrahedra since:

Problem 3

It isn't known if the set of all geometric triangulations of a convex ideal polyhedron is connected by 2-3 moves. (True in dimension 2, false in dimension 5.)

However,

Theorem (Gelfand-Kapranov-Zelevinsky)

Regular triangulations of a convex polytope in \mathbb{R}^n are connected by geometric bistellar flips.

Regular triangulations of a polytope in \mathbb{R}^n

Here a *polytope* is the convex hull of a set of points in \mathbb{R}^n .

Roughly speaking, a triangulation of the polytope is *regular* if it is isomorphic to the lower faces of a convex polytope in \mathbb{R}^{n+1} .



not regular ∧



Regular triangulations of a polytope in \mathbb{R}^n

Here a *polytope* is the convex hull of a set of points in \mathbb{R}^n .

Roughly speaking, a triangulation of the polytope is *regular* if it is isomorphic to the lower faces of a convex polytope in \mathbb{R}^{n+1} .





Regular triangulations of a polytope in \mathbb{R}^n

Here a *polytope* is the convex hull of a set of points in \mathbb{R}^n .

Roughly speaking, a triangulation of the polytope is *regular* if it is isomorphic to the lower faces of a convex polytope in \mathbb{R}^{n+1} .





Using the Klein model of \mathbb{H}^3 , we have a correspondence

convex ideal hyperbolic polyhedron \leftrightarrow "regular ideal triangulation" \leftrightarrow regular triangulation 2-3 move or sequence of moves \leftrightarrow geometric bistellar flip

convex Euclidean polyhedron with vertices on S^2



This can be fixed by inserting flat tetrahedra to bridge between the triangulations.



This can be fixed by inserting flat tetrahedra to bridge between the triangulations.



This can be fixed by inserting flat tetrahedra to bridge between the triangulations.



This can be fixed by inserting flat tetrahedra to bridge between the triangulations.



Our set χ_M consists of all triangulations of M constructed by the following:

- 1. Insert a regular ideal triangulation into each polyhedron of the Epstein-Penner decomposition.
- Insert any sequence of flat tetrahedra that bridges between the induced triangulations on each pair of glued faces of the polyhedra.

All such triangulations have semi-angle structures, and the set is connected by 2-3 moves. (In fact, we also need 0-2 moves, we also prove that these do not change I_{T} .)

Thanks!