

Algebraic Methods in Spline Theory

Michael DiPasquale

SIAM 2017

Multivariate Splines and Algebraic Geometry

Splines, generally speaking

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Motivating
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classical
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Freeness of
spline modules

A **spline** is

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A **spline** is

- A piecewise function

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- Together with 'gluing data' describing how the functions fit together

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A **spline** is

- A piecewise function
- Together with 'gluing data' describing how the functions fit together
- Classically, splines are C^r piecewise polynomial functions defined over tetrahedral or polytopal subdivisions in \mathbb{R}^n (Myself, Tatyana Sorokina, Nelly Villamizar; numerical analysis)

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- Or the cells of the subdivision could be semi-algebraic sets, defined by arbitrary polynomial inequalities (Peter Stiller, Frank Sottile; numerical analysis and algebraic geometry)

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- Or the polynomials could glue via *geometric continuity* to form splines on arbitrary topological spaces (Bernard Mourrain, Katharina Birner; isogeometric analysis, geometric design)

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- Dually, the domains could be considered as vertices of a graph (even infinite!) with algebraic gluing condition across edges (Julianna Tymoczko; equivariant cohomology)

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- Dually, the domains could be considered as vertices of a graph (even infinite!) with algebraic gluing condition across edges (Julianna Tymoczko; equivariant cohomology)
- Other work related to splines in this mini: Algebraic geometry and commutative algebra, with applications to interpolation problems (Stefan Tohaneanu, Boris Shekhtman)

Underlying space for a spline function

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Work over a subdivision $\Delta \subset \mathbb{R}^n$ which is:

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Work over a subdivision $\Delta \subset \mathbb{R}^n$ which is:

- A polytopal complex
- Pure n -dimensional
- A pseudomanifold

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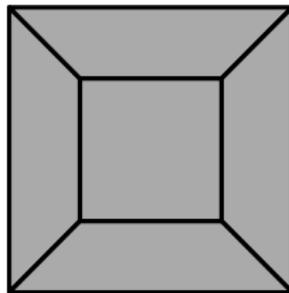
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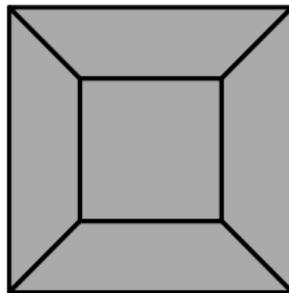
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A polytopal complex

Notation:

- Δ_i : faces of dimension i (i -faces)
- Δ_i° : interior i -faces
- If $\tau \in \Delta_{n-1}$, $\ell_\tau =$ linear form cutting out affine span of τ

Splines (classical definition, algebraically speaking)

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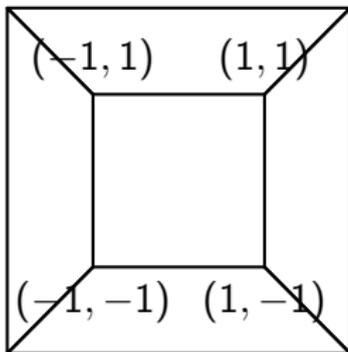
Freeness of
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C^r spline on Δ : collection $F = (F_\sigma)$ of polynomials $F_\sigma \in R = \mathbb{R}[x_1, \dots, x_n]$, for every $\sigma \in \Delta_n$, so that if $\sigma \cap \sigma' = \tau \in \Delta_{n-1}$ then $(\ell_\tau)^{r+1} | (F_\sigma - F_{\sigma'})$.

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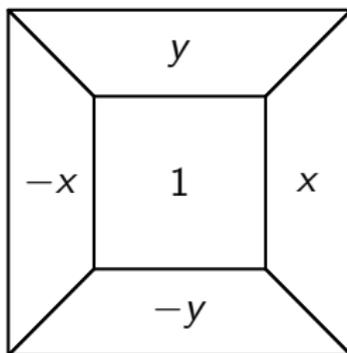
$(-2, 2)$ $(2, 2)$



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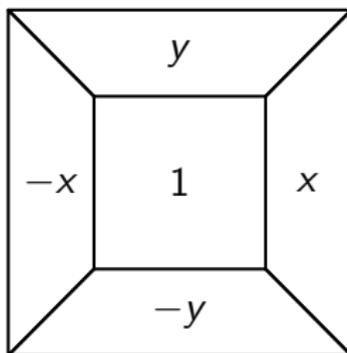
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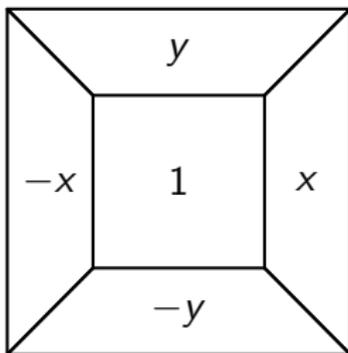
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$S^r(\Delta)$: R -module of all C^r splines on Δ

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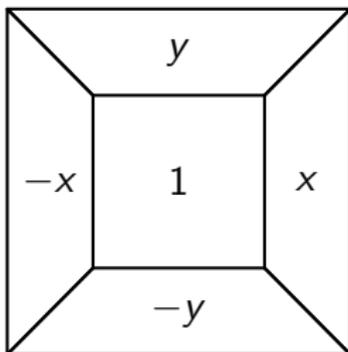


$S^r(\Delta)$: R -module of all C^r splines on Δ

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$S^r(\Delta)_d$: v.s. of $F \in S^r(\Delta)$ with $\deg(F_\sigma) = d$ for all $\sigma \in \Delta_n$.

Cone Construction

$\widehat{\Delta} \subset \mathbb{R}^{n+1}$ denotes *cone* over $\Delta \subset \mathbb{R}^n$.

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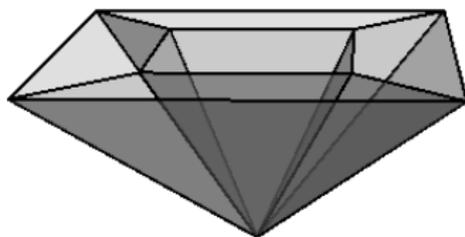
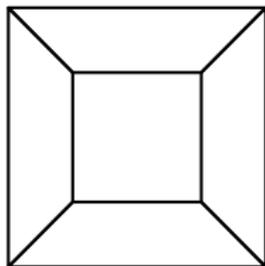
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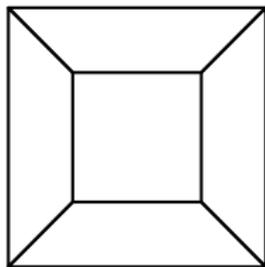
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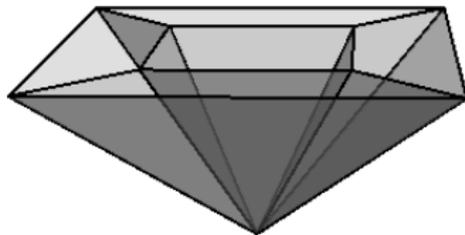
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- $l_{\hat{\tau}}$ is the homogenization of l_{τ} for $\tau \in \Delta_{n-1}$

Coning Construction

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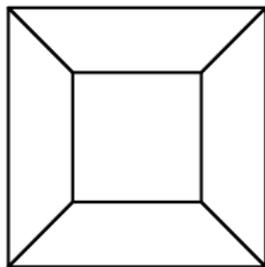
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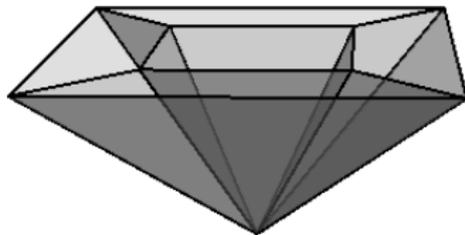
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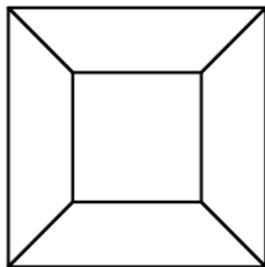
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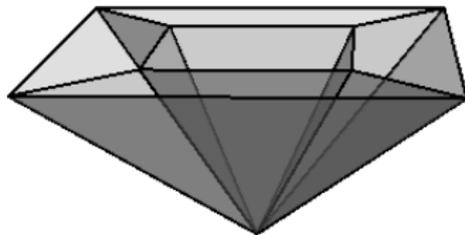
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- $S_d^r(\Delta) \cong S^r(\widehat{\Delta})_d$ (as v.s.)
- $S^r(\widehat{\Delta}) = \bigoplus_{d \geq 0} S^r(\widehat{\Delta})_d$ is a *graded R-module*

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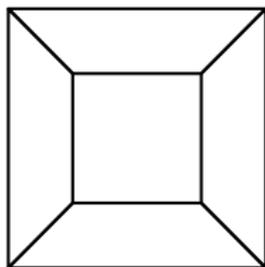
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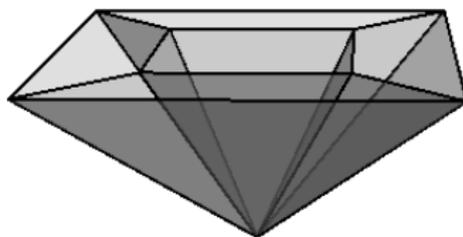
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- $S^r(\widehat{\Delta}) = \bigoplus_{d \geq 0} S^r(\widehat{\Delta})_d$ is a *graded* R -module
- Call Δ *central* if $\mathbf{0} \in \sigma$ for every $\sigma \in \Delta_n$

Main problems (Numerical analysis)

Answer in terms of combinatorial, geometric data of $\Delta \subset \mathbb{R}^n$:

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Answer in terms of combinatorial, geometric data of $\Delta \subset \mathbb{R}^n$:

(1) (Holy grail) Find $\dim S'_d(\Delta)$ (equiv. $\dim S^r(\widehat{\Delta})_d$)

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- (1) (Holy grail) Find $\dim S_d^r(\Delta)$ (equiv. $\dim S^r(\widehat{\Delta})_d$)
- (2) (Holier grail) Find a basis for $S_d^r(\Delta)$ (equiv. $S^r(\widehat{\Delta})_d$)

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- $r = 0$, Δ simplicial, (1),(2) known for all n (Billera '89)

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- $r > 0$, $\Delta \subset \mathbb{R}^2$ simplicial,
 - $\dim S_d^r(\Delta)$ known for $d \geq 3r + 1$ (Alfeld-Schumaker '93)

Main problems (Numerical analysis)

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 - Conjectured that $\dim S_d^r(\Delta)$ given by Schumaker's lower bound for $d \geq 2r + 1$ (Schenck '97)

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 - Even $\dim S_3^1(\Delta)$ is unknown! (*generically* given by Schumaker's lower bound (Billera,Whiteley'88))

Freeness questions (Numerical analysis, topology)

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Freeness of
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$S^r(\Delta)$ is a **free** R -module if:

$\exists F_1, \dots, F_k \in S^r(\Delta)$ so that every $F \in S^r(\Delta)$ can be written as $\sum_{i=1}^k f_i F_i$ for a unique choice of polynomials f_1, \dots, f_k .

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- (3) (Less holy grail) Determine whether $S^r(\Delta)$ is a free R -module.

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- (3) (Less holy grail) Determine whether $S^r(\Delta)$ is a free R -module.
- (4) (Pretty holy grail) Find generators for $S^r(\Delta)$ as an R -module (particularly when $S^r(\Delta)$ is free).

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 - Schenck ('97): Δ simplicial and $S^r(\widehat{\Delta})$ free
 $\implies \dim S_d^r(\Delta)$ determined by local data
 - We focus on (3) for $r = 0$

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Freeness of
spline modules

$S^r(\Delta)$ is a **free** R -module if:

$\exists F_1, \dots, F_k \in S^r(\Delta)$ so that every $F \in S^r(\Delta)$ can be written as $\sum_{i=1}^k f_i F_i$ for a unique choice of polynomials f_1, \dots, f_k .

- (3) (Less holy grail) Determine whether $S^r(\Delta)$ is a free R -module.
- (4) (Pretty holy grail) Find generators for $S^r(\Delta)$ as an R -module (particularly when $S^r(\Delta)$ is free).
 - Schenck ('97): Δ simplicial and $S^r(\widehat{\Delta})$ free $\implies \dim S_d^r(\Delta)$ determined by local data
 - We focus on (3) for $r = 0$
 - For (4): analogue of Saito's criterion from arrangement theory identifies when a set of splines forms a free basis for $S^r(\Delta)$

C^0 simplicial splines are (almost) always free

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**Freeness of
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If $\Delta \subset \mathbb{R}^n$ is simplicial then:

- $S^0(\widehat{\Delta})$ isomorphic to Stanley-Reisner ring of Δ (Billera '89)

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- $S^0(\widehat{\Delta})$ isomorphic to Stanley-Reisner ring of Δ (Billera '89)
- If $|\Delta|$ is homeomorphic to an n -ball then $S^0(\widehat{\Delta})$ is a free R -module.
- $\dim S_d^0(\Delta)$ completely determined by combinatorics of Δ

C^0 non-freeness for polytopal splines

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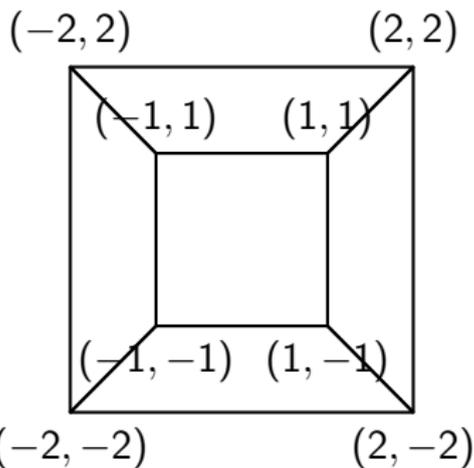
Nonfreeness for Polytopal Complexes [D. '12]

$S^0(\widehat{\Delta})$ need not be free if Δ has nonsimplicial faces.

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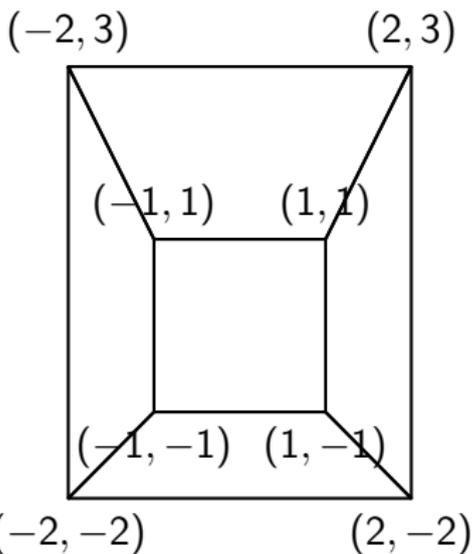


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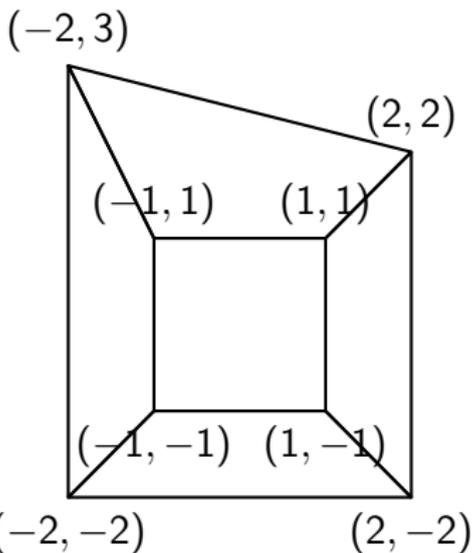


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$S^0(\widehat{\Delta})$ is a **free** $\mathbb{R}[x, y, z]$ -module

Crosscut Partitions

A partition of a planar domain D is called a *crosscut partition* if the union of its two-cells are the complement of a line arrangement.

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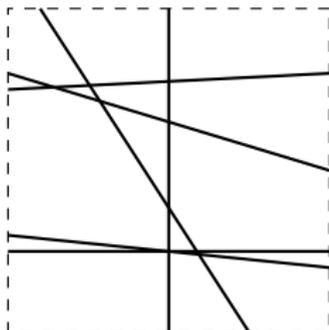
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Crosscut Partitions

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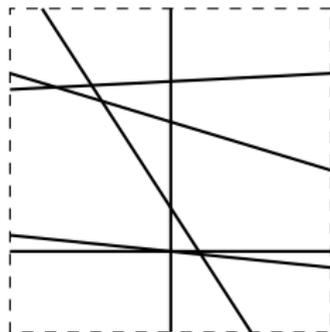
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- Basis for $S_d^r(\Delta)$ and $\dim S_d^r(\Delta)$ (Chui-Wang '83): uniform constructions based on combinatorial data

Crosscut Partitions

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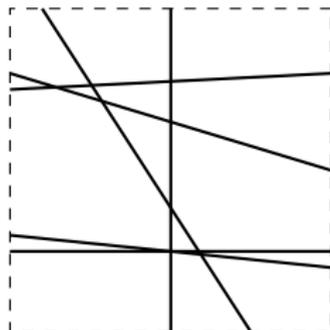
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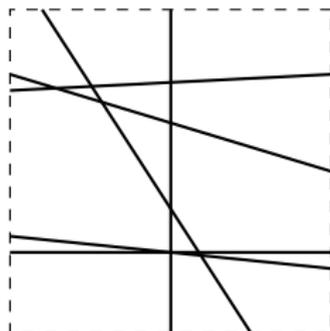
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- $S^r(\hat{\Delta})$ is also free for any r (Schenck '97)

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- Basis for $S_d^r(\Delta)$ and $\dim S_d^r(\Delta)$ (Chui-Wang '83): uniform constructions based on combinatorial data
- $S^r(\hat{\Delta})$ is also free for any r (Schenck '97)
- Extends to so-called *quasi-crosscut partitions*

Three dimensional crosscut partitions?

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Freeness of
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H_1, \dots, H_k linear subspaces of \mathbb{R}^3

$$\mathcal{A} = \bigcup_{i=1}^k H_i$$

\mathcal{A} is a *central hyperplane arrangement*

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$\Delta_{\mathcal{A}}$ = polyhedral complex whose maximal polytopes are closures of connected components of $\mathbb{R}^3 \setminus \mathcal{A}$ (*chambers of \mathcal{A}*)

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Question

Is $\dim S^0(\Delta_{\mathcal{A}})_d$ (or freeness of $S^0(\Delta_{\mathcal{A}})$) combinatorial?

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Is $\dim S^0(\Delta_{\mathcal{A}})_d$ (or freeness of $S^0(\Delta_{\mathcal{A}})$) combinatorial?

Answer: In general, no.

Example: Ziegler's pair

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Freeness of
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$\mathcal{A}_t =$ union of hyperplanes defined by the vanishing of the forms (t is considered a parameter):

| | | |
|-----|-----------------|------------------------|
| x | $x+y+z$ | $2x+y+z$ |
| y | $2x+3y+z$ | $2x+3y+4z$ |
| z | $(1+t)x+(3+t)z$ | $(1+t)x+(2+t)y+(3+t)z$ |

Write Δ_t for $\Delta_{\mathcal{A}_t}$.

Example: Ziegler's pair

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Write Δ_t for $\Delta_{\mathcal{A}_t}$.

- Combinatorics of Δ_t is constant for t close to 0

Example: Ziegler's pair

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- $S^0(\Delta_0)$ is **not** free

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Write Δ_t for $\Delta_{\mathcal{A}_t}$.

- Combinatorics of Δ_t is constant for t close to 0
- $S^0(\Delta_0)$ is not free
- $S^0(\Delta_t)$ is free for $t \neq 0$ near zero
- $\dim S^0(\Delta_0)_1 = \dim S^0(\Delta_t)_1 + 1$ for $t \neq 0$ near zero

Formal arrangements and C^0 splines

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Freeness of
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$\mathcal{A} = \cup_{i=1}^k H_i$, where H_i is vanishing of linear form l_i .

Formal arrangements and C^0 splines

$\mathcal{A} = \cup_{i=1}^k H_i$, where H_i is vanishing of linear form l_i .

\mathcal{A} is *formal* if:

Every dependency among the linear forms l_1, \dots, l_k is a linear combination of dependencies among three linear forms.

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- $x, y, z, x - y, x - z, y - z$ yields a formal arrangement
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Theorem [D.'17]

If $\mathcal{A} \subset \mathbb{R}^3$, then $S^0(\Delta_{\mathcal{A}})$ is free if and only if \mathcal{A} is formal.

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- Theorem generalizes to any central $\Delta \subset \mathbb{R}^3$, but statement is more complicated
- \mathcal{A}_t is formal except when $t = 0$ (Yuzvinsky '93).

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THANK YOU!