

## The Cayley-Hamilton Theorem and the Jordan Decomposition

Let me begin by summarizing the main results of the last lecture. Suppose  $T$  is an endomorphism of a vector space  $V$ . Then  $T$  has a minimal polynomial that factorizes into a product of powers of irreducible polynomials

$$(1) \quad m_T(x) = p_1(x)^{s_1} \cdots p_k(x)^{s_k}$$

These irreducible factors can then be used to construct certain polynomials  $f_1(x), \dots, f_k(x)$  and corresponding operators  $E_i \equiv f_i(T)$  which can be used to decompose the vector space  $V$  into a direct sum of  $T$ -invariant subspaces

$$V = V_1 \oplus V_2 \oplus \cdots \oplus V_k$$

Moreover, we have both  $V_i = E_i(V)$  (the image of  $V$  under  $E_i$ ) and  $V_i = \ker(p_i(T)^{s_i})$  (the kernel of the operator  $p_i(T)^{s_i}$ ). Since each  $V_i$  is  $T$ -invariant

$$v \in V_i \quad \Rightarrow \quad T(v) \in V_i$$

it follows that if we construct a basis for  $V$  by first choosing bases for each subspace  $V_i$  and then adjoining these bases to get a basis  $B$  for the entire vector space  $V$ , then with respect to  $B$ , the matrix  $T$  will take the block diagonal form

$$\mathbf{A}_{BB} = \begin{pmatrix} \mathbf{A}_1 & \mathbf{0} & \cdots & \cdots & \mathbf{0} \\ \mathbf{0} & \mathbf{A}_2 & & & \mathbf{0} \\ \vdots & & \ddots & & \vdots \\ \vdots & & & \mathbf{A}_{k-1} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{A}_k \end{pmatrix}$$

What we aim to show in this lecture is that the submatrices  $\mathbf{A}_i$  (which describe how  $T$  operators on the subspace  $V_i$ ) can be chosen to be upper triangular. A little more precisely, we shall show that we can always choose a basis for a given  $V_i$  so that the corresponding matrix  $\mathbf{A}_i$  is upper triangular.

In what follows below we shall be making a special assumption about the factorization (1) of the minimal polynomial; namely

$$(2) \quad \textbf{Assumption :} \quad \text{Each irreducible factor of } m_T \text{ is of the form } p_i(x) = x - \xi_i$$

where, of course,  $\xi_i$  is some eigenvalue of  $T$ . We note that whenever we are working over an *algebraically closed field* (like  $\mathbb{C}$ ) this assumption on  $m_T$  will hold automatically. So we have

$$(2') \quad m_T(x) = (x - \xi_1)^{s_1} \cdots (x - \xi_k)^{s_k} \quad , \quad \text{with } \xi_i \neq \xi_j \text{ if } i \neq j.$$

Now the first thing to point out is that since the subspaces  $V_i$  are also the kernel of the operators  $(p_i(T))^{s_i} = (T - \xi_i \mathbf{1})^{s_i}$ , if we defined operators

$$N_i \equiv T - \xi_i \mathbf{1}$$

then

$$(N_i)^{s_i} v = \mathbf{0}_V \quad \forall \quad v \in V_i = \ker((N_i)^{s_i})$$

Thus, when we restrict the action of  $N_i$  to its corresponding subspace  $V_i$ , the  $s_i^{\text{th}}$  power of  $N_i$  vanishes identically. One says that  $N_i$  acts *nilpotently* on  $V_i$ .

## 1. Digression: Nilpotent Transformations

DEFINITION 19.1. A linear transformation  $N : W \rightarrow W$  of a vector space  $W$  is said to be **nilpotent** if there exists a positive integer  $k$  such that  $N^k = \mathbf{0}_{L(W,W)}$ . If  $N$  is nilpotent and  $s$  is the minimal integer such that  $N^s = \mathbf{0}_{L(W,W)}$  we say that  $N$  is  $s$ -nilpotent.

LEMMA 19.2. If  $N$  is  $m$ -nilpotent, then its minimal polynomial is

$$m_N(x) = x^m \quad .$$

*Proof.* By the definition of  $m$ -nilpotent, we certainly have

$$N^m = 0$$

and so, by Theorem 16.8, the minimal polynomial of  $N$  must divide  $x^m$ . Since  $x^m$  is a power of a single irreducible polynomial  $(x - 0)$ , the only possibilities for  $m_N(x)$  are other are polynomials of the form  $(x - 0)^k$  with  $k \leq m$ . But we still have to had

$$\mathbf{0}_{L(V,V)} = m_N(N) = N^k$$

but since  $m$  is the smallest  $k$  such that  $N^k = 0$ , we must have

$$m_N(x) = (x - 0)^m \quad .$$

□

LEMMA 19.3. Let  $N \in L(V, V)$  be a nilpotent endomorphism of a finite-dimensional vector space  $V$  over an algebraically closed field. Then  $V$  has a basis  $\{w_1, w_2, \dots, w_n\}$  such that

$$\begin{aligned} N(v_1) &= \mathbf{0}_V \\ N(v_2) &\in \text{span}_{\mathbb{F}}(v_1) \\ N(v_3) &\in \text{span}_{\mathbb{F}}(v_1, v_2) \\ &\vdots \\ N(v_n) &\in \text{span}_{\mathbb{F}}(v_1, v_2, \dots, v_{n-1}) \quad . \end{aligned}$$

*Proof.* Since  $N$  is nilpotent, for sufficient large  $k$  we must have  $N^k = \mathbf{0}_{L(W,W)}$ . Let  $m$  be the minimal such  $k$  (so  $N$  is  $m$ -nilpotent). By the preceding lemma, the minimal polynomial of  $N$  is then

$$m_N(x) = x^m \quad .$$

Now consider the following family of subspaces of  $V$

$$\begin{aligned} V_0 &: = \{\mathbf{0}\} \\ V_1 &: = \ker(N) \\ V_2 &: = \ker(N^2) \\ &\vdots \\ V_{m-1} &= \ker(N^{m-1}) \\ V_m &= \ker(N^m) = V \end{aligned}$$

I claim  $V_i$  is a proper subspace of  $V_{i+1}$  for  $i = 0, \dots, m-1$ . Indeed, if  $v \in V_i$ , then  $N^i v = \mathbf{0}$  and so also  $N^{i+1} v = \mathbf{0}$  as well; so certainly  $V_i \subseteq V_{i+1}$ . To see that  $V_i$  is, in fact, a proper subspace of  $V_{i+1}$ , we argue as follows. Since  $m$  is the minimal power of  $N$  such that  $N^m = \mathbf{0}_{L(V,V)}$  there has to be a vector  $w$  that survives  $N^{m-1}$ ;

$$N^i w \neq \mathbf{0} \quad \text{if } i < m \quad \text{but} \quad N^m w = \mathbf{0} \quad .$$

Set

$$w_{i+1} = N^{m-i-1} w$$

Then

$$N^i w_{i+1} = N^{m-1} w \neq \mathbf{0}$$

but

$$N^{i+1} w_{i+1} = N^m w = \mathbf{0} \quad .$$

So

$$w_{i+1} \notin V_i \quad \text{but} \quad w_{i+1} \in V_{i+1}.$$

Thus, each  $V_i$  is a proper subset of  $V_{i+1}$ .

And so we have the following chain of proper subspaces

$$\{\mathbf{0}_W\} \subsetneq V_1 \subsetneq V_2 \subsetneq \cdots \subsetneq V_{m-1} \subsetneq V_m = V \quad .$$

Next we note that

$$N(V_i) \subset V_{i-1}$$

Indeed, if  $v \in V_i$ , we have  $N^i v = 0$ . But then  $Nv$  will satisfy  $N^{i-1}(Nv) = \mathbf{0}$ , and so  $Nv \in V_{i-1}$ .

Ok. We are now ready to construct a basis  $B$  of  $V$  that has the property

$$N(v_i) \subset \text{span}(v_1, \dots, v_{i-1}) \quad .$$

To do this we just apply Theorem 5.4 repeatedly. Let  $k_i = \dim V_i$ . Since  $V_1$  is a subspace it has a basis  $B_1 = \{v_1^{(1)}, \dots, v_{k_1}^{(1)}\}$ . Since  $V_1$  is a subspace of  $V_2$ , by Theorem 5.4,  $V_2$  has a basis  $B_2$  that extends the basis  $B_1$  of  $V_1$ .

$$B_2 = \{v_1^{(1)}, \dots, v_{k_1}^{(1)}, v_{k_1+1}^{(2)}, \dots, v_{k_2}^{(2)}\} \quad .$$

Then, in a similar fashion, we can extend  $B_2$  to a basis  $B_3$  of  $V_3$ ,  $B_3$  to a basis  $B_4$  of  $V_4$ , and so on. In the end, we'll arrive at a basis

$$B = \{v_1^{(1)}, \dots, v_{k_1}^{(1)}, v_{k_1+1}^{(2)}, \dots, v_n^{(m)}\} \quad \text{of } V_m = V \quad .$$

Now because

$$NV_i \subset V_{i-1}$$

we will have to have

$$N(v_j^{(i)}) \in V_{i-1} = \text{span}(v_1^{(1)}, \dots, v_{k_{i-1}}^{(i-1)})$$

And in fact, by the way we ordered to lower indices on the basis elements  $v_j^{(i)}$ , we have  $k_{i-1} < j \leq k_i$ . Thus,

$$N(v_j^{(i)}) \in \text{span}(v_1^{(1)}, \dots, v_{k_{i-1}}^{(i-1)}) \subseteq \text{span}(v_1^{(1)}, \dots, v_{k_{i-1}}^{(i-1)}, v_{k_{i-1}+1}^{(i)}, \dots, v_{j-1}^{(i)})$$

which, is the statement we sought to prove (by simply ignoring the upper indices, which after all are just a notational device to indicate how we constructed the basis  $B$ ).  $\square$

REMARK 19.4. Another way of framing this result is as follows. If  $N$  is a nilpotent operator acting a finite-dimensional vector space  $W$ , then there exists a basis  $B$  for  $W$  such the matrix of  $N$  with respect to  $B$  is an upper triangular matrix with 0's along the diagonal:

$$\mathbf{N}_{BB} = \begin{pmatrix} 0 & * & \cdots & * \\ 0 & 0 & & * \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{pmatrix} \quad .$$

To see this, consider the  $j^{\text{th}}$  column vector  $\mathbf{c}_j$  of  $\mathbf{N}_{BB}$ .

$$\mathbf{c}_j = N(v_j)_B \quad .$$

Since  $N(v_j) \in \text{span}(v_1, \dots, v_{j-1})$ , the *coordinates* of  $N(v_j)$  with respect to  $v_j, v_{j+1}, \dots, v_n$  must all be 0. So we have

$$(\mathbf{N}_{BB})_{ij} = (\mathbf{c}_j)_i = 0 \quad \text{if} \quad i \geq j \quad .$$

So if we say that  $n \times n$  matrix  $\mathbf{A}$  is **strictly upper triangular** whenever its entries satisfy

$$A_{ij} = 0 \quad \text{whenever } j \leq i$$

then the preceding theorem can be rephrased more succinctly as saying:

- If  $N \in L(V, V)$  is a nilpotent transformation, there exists a basis for  $V$  such that matrix representing  $N$  is strictly upper triangular.

REMARK 19.5. Another observation one can make is that if  $N \in L(W, W)$  is nilpotent and  $s$  is the minimal integer such that  $N^s = \mathbf{0}_{L(W, W)}$ . Then  $W$  has to be at least  $s$  dimensional (because each application of  $N$  confines a vector to a smaller and smaller subspace of  $W$ . Cf. equation (3)).

THEOREM 19.6. Let  $T \in L(V, V)$  and suppose  $T$  has a minimal polynomial of the form

$$m_T(x) = (x - \alpha_1)^{s_1} \cdots (x - \alpha_k)^{s_k} \quad .$$

Then there exists a basis  $\{v_1, \dots, v_n\}$  of  $V$  such that the matrix  $\mathbf{A}_T$  of  $T$  with respect to this basis has a block diagonal form

$$\mathbf{A}_T = \begin{pmatrix} \mathbf{A}_1 & \mathbf{0} & \cdots & \cdots & \mathbf{0} \\ \mathbf{0} & \mathbf{A}_2 & & & \mathbf{0} \\ \vdots & & \ddots & & \vdots \\ \vdots & & & \mathbf{A}_{k-1} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \cdots & \mathbf{0} & \mathbf{A}_k \end{pmatrix}$$

and, moreover, submatrix  $A_i$  along the diagonal is of the form

$$\mathbf{A}_i = \begin{pmatrix} \alpha_i & * & \cdots & * \\ 0 & \alpha_i & & * \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \cdots & \alpha_i \end{pmatrix}$$

with only zero entries appearing below the diagonal. Moreover, the sizes of these submatrices  $\mathbf{A}_i$  is  $\geq s_i$ .

*Proof.* We have already remarked at the beginning of this lecture how (via Theorem 17.17) how the minimal polynomial  $m_T$  of  $T$  leads to a direct sum decomposition of  $V$  into  $T$ -invariant subspaces

$$V = V_1 \oplus V_2 \oplus \cdots \oplus V_k$$

each subspace corresponding to a particular irreducible factor occurring in the minimal polynomial. In fact, any basis of  $V$  constructed by adjoining bases of the direct summands  $V_1, \dots, V_k$  will cast  $\mathbf{A}$  in this block diagonal form. What we need to show is that we can adopt bases  $B_i$  of the individual subspace  $V_i$  so that when  $T$  is restricted to  $V_i$  its matrix (with respect to the basis  $B_i$  of  $V_i$ ) is upper triangular.

Now recall the subspaces  $V_i$  can be identified with the kernel of the operator  $(p_i(T))^{s_i} = (T - \alpha_i \mathbf{1})^{s_i}$ . This means the operator  $(T - \alpha_i \mathbf{1})$  is a nilpotent operator on  $V_i$ . By the preceding lemma then, there exists a basis for  $V_i$  such that  $T - \alpha_i \mathbf{1}$  is upper triangular with zeros along the diagonal. Thus

$$(\mathbf{T} - \alpha_i \mathbf{I})_{BB} = \begin{pmatrix} 0 & * & \cdots & * \\ 0 & 0 & & * \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{pmatrix}$$

and so

$$\mathbf{T}_{BB} = \begin{pmatrix} 0 & * & \cdots & * \\ 0 & 0 & & * \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{pmatrix} + \begin{pmatrix} \alpha_i & 0 & \cdots & 0 \\ 0 & \alpha_i & & 0 \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \cdots & \alpha_i \end{pmatrix} = \begin{pmatrix} \alpha_i & * & \cdots & * \\ 0 & \alpha_i & & * \\ \vdots & & \ddots & \vdots \\ 0 & 0 & \cdots & \alpha_i \end{pmatrix}$$

Finally, we point out that since  $(T - \alpha_i \mathbf{1})$  is  $s_i$ -nilpotent on  $V_i$ , the dimension of  $V_i$  has to be at least  $s_i$ .  $\square$

**COROLLARY 19.7.** *Let  $V$  be a vector space over an algebraically closed field and let  $T \in L(V, V)$ . Then the minimal polynomial of  $T$  divides the characteristic polynomial of  $T$ .*

*Proof.* Let

$$(4) \quad m_T(x) = (x - \alpha_1)^{s_1} \cdots (x - \alpha_k)^{s_k}$$

be the minimal polynomial of  $T$  (guaranteed to be of this form since the underlying field is algebraically closed). By the preceding theorem we can find a basis  $B$  for  $V$  such that the matrix for  $T$  with respect to  $B$  takes an upper triangular form, with entries  $\alpha_1, \dots, \alpha_k$  along the diagonal. Then  $(\mathbf{T}_{BB} - x\mathbf{1})$  will also be upper triangular, but with entries  $\alpha_i - x$  along the diagonal. Since the determinant of an upper triangular matrix is just the product of diagonal elements, we will have

$$p_T(x) = \det(\mathbf{T}_{BB} - x\mathbf{1}) = (\alpha_1 - x)^{d_1} \cdots (\alpha_k - x)^{d_k}$$

where  $d_i$  is the size of the submatrix  $\mathbf{A}_i$  ( $d_i = \dim V_i$ ). As remarked at the end of the proof of Theorem 18.5, we have  $d_i \geq s_i$ . Thus, minimal polynomial will divide the characteristic polynomial.  $\square$

**COROLLARY 19.8** (Cayley-Hamilton). *If  $T \in L(V, V)$ , and  $p_T(x)$  is its characteristic polynomial, then  $p_T(T) = \mathbf{0}_{L(V, V)}$ .*

*Proof.* By preceding corollary,

$$p_T(x) = q(x) m_T(x)$$

for some polynomial  $q(x)$ . But then

$$p_T(T) = q(T) m_T(T) = q(T) \mathbf{0}_{L(V, V)} = \mathbf{0}_{L(V, V)} \quad .$$

$\square$

## 2. The Jordan Decomposition

Let  $V$  be a vector space over an algebraically closed field. We have seen that the minimal polynomial  $m_T$  of a linear transformation  $T \in L(V, V)$  provides us a natural direct sum decomposition of a vector space  $V$

$$V = V_1 \oplus V_2 \oplus \cdots \oplus V_k$$

such that  $T$  acts invariantly and, in fact, upper-triangularly on each subspace  $V_i$ . We'll now see that  $T$  itself decomposes in a particular nice, predictable way.

**LEMMA 19.9.** *If  $T \in L(V, V)$  is diagonalizable. Then its restriction to any  $T$ -invariant subspace  $W$  of  $T$  is diagonalizable.*

*Proof.* If  $T$  is diagonalizable, then its minimal polynomial is of the form

$$(4) \quad m_T(x) = (x - \alpha_1) \cdots (x - \alpha_k) \quad , \quad \alpha_i \neq \alpha_j \text{ if } i \neq j \quad ,$$

where  $\alpha_1, \dots, \alpha_k$  are the eigenvalues of  $T$ . Suppose  $W$  is a  $T$ -invariant subspace of  $V$ . Then any power of  $T$ , or indeed, any polynomial in  $T$  will preserve  $W$ . Because of this,

$$f(T|_W) = f(T)|_W = f(T)|_W$$

that is to say the restriction of any polynomial in  $T$  to  $W$  makes sense and, in fact, it amounts to the same polynomial  $f$  "evaluated" at the restriction  $T|_W$  of  $T$  to  $W$ . In particular, we'll have

$$\mathbf{0}_{L(V, V)} = m_T(T) \quad \Rightarrow \quad \mathbf{0}_{L(W, W)} = m_T(T|_W) \quad .$$

Now it should be pointed out that this does not imply that  $m_T$  is also the minimal polynomial of  $T|_W$ , indeed, it might not be (e.g. if  $W$  were comprised of a single eigenspace then  $m_{T|_W} = (x - \alpha_i)$ ), it does imply that the minimal polynomial of  $T|_W$  must divide  $m_T$ . Because of the factorization (4) of  $m_T$ , it must then be that the minimal polynomial of  $T|_W$  contain the same kind of factors. In other words, the minimal polynomial of  $T|_W$  will also have the form (4), except with possibly some factors missing. But

then having a minimal polynomial of the form (4) means, there exists a basis  $B_W$  of  $W$  for which  $T|_W$  is diagonalizable.  $\square$

LEMMA 19.10. *If  $S, T \in L(V, V)$  are diagonalizable transformations such that  $ST = TS$ , then there exists a basis for  $V$  in which both  $T$  and  $S$  act diagonally.*

*Proof.* Let  $\{\lambda_1, \dots, \lambda_k\}$ ,  $\{\alpha_1, \dots, \alpha_\ell\}$  be the eigenvalues of, respectively,  $T$  and  $S$ . Suppose we decompose  $V$  into its  $T$ -eigenspaces

$$V_i = \{v \in V \mid Tv = \lambda_i v\}$$

Then each subspace  $V_i$  is preserved by  $S$ . For if  $v_i \in V_i$

$$T(S(v_i)) = S(T(v_i)) = S(\lambda_i v_i) = \lambda_i S(v_i) \Rightarrow S(v_i) \text{ is an eigenvector of } T \text{ with eigenvalue } \lambda_i \Rightarrow S(v_i) \in V_i$$

But then by the preceding lemma, on each of the  $S$ -invariant subspace  $V_i$ ,  $S$  is diagonalizable. Therefore, each  $V_i$  has an  $S$ -eigenspace decomposition

$$V_i = V_{i,1} \oplus V_{i,2} \oplus \dots \oplus V_{i,\ell} \quad ; \quad V_{i,j} = \{v \in V_i \mid Sv = \alpha_j v\}.$$

Now we can choose any bases we want for the subspace  $V_{i,j}$ ,  $i = 1, \dots, k$ ,  $j = 1, \dots, \ell$ , and adjoin these bases to get a basis  $B$  for  $V$ . Each of the basis vectors in  $B$  will live in one particular  $V_{i,j}$  and so will be simultaneously an eigenvector for  $T$  and  $S$ :

$$v \in V_{i,j} \Rightarrow T(v) = \lambda_i v \quad \text{and} \quad S(v) = \alpha_j v \quad .$$

Thus, with respect to the basis so constructed, both  $S$  and  $T$  will act diagonally.  $\square$

THEOREM 19.11. *Let  $V$  be a vector space over an algebraically closed field and let  $T \in L(V, V)$ . Then*

$$T = D + N \quad , \quad D, N \in L(V, V)$$

where

- (i)  $D \in L(V, V)$  is a diagonalizable linear transformation;
- (ii)  $N \in L(V, V)$  is a nilpotent transformation;
- (iii) There exist polynomials  $f(x)$  and  $g(x) \in \mathbb{F}[x]$  such that  $D = f(T)$  and  $N = g(T)$ .
- (iv) The transformations  $D$  and  $N$  commute:  $D \circ N = N \circ D$ .
- (v) The transformations  $D$  and  $N$  are uniquely determined in the sense that if  $T = D' + N'$  with  $D'$  diagonalizable,  $N'$  nilpotent and  $D' \circ N' = N' \circ D'$ , then  $D' = D$  and  $N' = N$ .

*Proof.* Since  $\mathbb{F}$  is algebraically closed, the irreducible polynomials in  $\mathbb{F}[x]$  are all of the form  $x - \alpha_i$ , and so the minimal polynomial has the form

$$m_T(x) = (x - \alpha_1)^{s_1} \dots (x - \alpha_k)^{s_k}$$

and we have via Theorem 17.17 a corresponding direct sum decomposition of  $V$

$$(4) \quad V = V_1 \oplus V_2 \oplus \dots \oplus V_k$$

into  $T$  invariant subspaces where

$$V_i = E_i V$$

where the operators  $E_i$  are of the form  $E_i = f_i(T)$  for some polynomial  $f \in \mathbb{F}[x]$ , and satisfy

$$E_i E_j = \begin{cases} E_i & \text{if } i = j \\ \mathbf{0}_{L(V,V)} & \text{if } i \neq j \end{cases}$$

Consider the operator

$$D = \alpha_1 E_1 + \alpha_2 E_2 + \dots + \alpha_k E_k$$

Note that

$$D = \alpha_1 f_1(T) + \alpha_2 f_2(T) + \dots + \alpha_k f_k(T)$$

and so is a polynomial in  $T$  as desired. Moreover, because it is a polynomial in  $T$  it will preserve any  $T$ -invariant subspace. So it makes sense to restrict it to any of the subspaces  $V_i$

Let  $v \in V$ . Its component  $v_i$  in  $V_i$  will be  $E_i(v)$ . But then

$$\begin{aligned} D(v_i) &= (\alpha_1 E_1 \cdots + \alpha_k E_k) \circ E_i(v) = \alpha_1 E_1 E_i(v) + \cdots + \alpha_i E_i E_i(v) + \cdots + \alpha_k E_k E_i(v) \\ &= \mathbf{0}_V + \cdots + \mathbf{0}_v + \alpha_i E_i(v) + \mathbf{0}_V + \cdots + \mathbf{0}_V \\ &= \alpha_i v_i \end{aligned}$$

and so on each of the subspaces  $V_i$ ,  $D$  will simply act by scalar multiplication by  $\alpha_i$ . Hence  $D$  will be diagonalizable, with eigenvalues  $\alpha_i$ .

Now let

$$N = T - D \quad .$$

Since  $D$  is a polynomial in  $T$  so will be  $N$ . We have

$$Nv_i = (T - D)v_i = (T - \alpha_i)v_i$$

Now recall that Theorem 17.17 tells us also that the subspaces  $V_i$  in the decomposition (4) are also identifiable as  $\ker((T - \alpha_i)^{s_i})$ . This implies

$$N^{s_i}v_i = \mathbf{0}_V$$

and if we choose  $n = \max(s_1, \dots, s_k)$  then we'll have

$$N^n v_i = \mathbf{0}_V \quad i = 1, 2, \dots, k$$

and thus,  $N^n(v) = \mathbf{0}_V$  for all  $v \in V$ . Thus,  $N$  is nilpotent.

Note also, that since both  $N$  and  $D$  are polynomials in  $T$  we will have automatically that  $N \circ D = D \circ N$ .

It remains to prove the uniqueness of  $N$  and  $D$ . Suppose that  $N'$  and  $D'$  satisfy

$$\begin{aligned} T &= D' + N' \\ D'N' &= N'D' \\ D' &\text{ is diagonalizable} \\ N' &\text{ is nilpotent} \end{aligned}$$

Then we have

$$TD' = (D' + N')D' = D'D' + N'D' = D'D' + D'N' = D'(D' + N') = D'T$$

and similarly  $TN' = N'T$ . But then  $D'D = DD'$  and  $N'N = NN'$  since  $D$  and  $N$  are polynomial in  $T$ . From

$$D + N = T = D' + N'$$

we also have

$$D' - D = N - N'$$

Now since  $N$  and  $N'$  commute, we can use the binomial theorem to expand powers of  $(N' - N)$

$$(N - N')^m = \sum_{k=0}^m \binom{m}{k} (N')^{m-k} (N)^k$$

Now because  $N'$  and  $N$  are nilpotent there exist integers  $n$  and  $n'$  such that  $(N)^n = \mathbf{0}_{L(V,V)}$  and  $(N')^{n'} = \mathbf{0}_{L(V,V)}$ . Therefore if we choose  $m$  larger than say  $\max(n, n')/2$  then all the terms in  $(N - N')^m$  will vanish. Hence,  $N - N'$  is nilpotent. On the other hand, since the matrices  $D$  and  $D'$  commute, they are simultaneously diagonalizable. And so  $D - D'$  can be diagonalized, and in its diagonalizing basis must take the form

$$N - N' = D' - D \sim \begin{pmatrix} \beta_1 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & \beta_n \end{pmatrix}$$

But then for  $(N - N')^m = 0$ , we will need each  $\beta_i^m = 0$ , Hence  $D = D'$ , and hence  $N = N'$ .